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Attorney's Docket No.: 3801P001C3

Patent

In re the Application of: Martin L. Hess, et al.

(inventor(s))

Application No.: 09/777,306**AMENDMENT UNDER  
37 C.F.R. § 1.116  
EXPEDITED PROCEDURE**Filed: February 5, 2001**EXAMINING GROUP 2100**For: Information Presentation and Management in an Online Trading Environment

(title)

Mail Stop AF  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450SIR: Transmitted herewith is an **Amendment After Final Action** for the above-referenced application.

Applicant claims small entity status. See 37 CFR 1.27.

No additional fee is required.

**A Notice of Appeal is enclosed.**

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 33	Minus	** 34	0	X9	\$	X18	\$
Indep. Claims	* 6	Minus	*** 10	0	X43	\$	X86	\$
<b>First Presentation of Multiple Dependent Claim(s)</b>					+145	\$	+290	\$
					Total Add. Fee	\$	Total Add. Fee	\$

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

on July 30, 2004

Date of Deposit

Debbie Peloquin

Name of Person Mailing Correspondence

Debbie Peloquin  
SignatureJuly 30, 2004

Date

Docket No.: 3801P001C3  
Application No.: 09/777,306

\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
\_\_\_\_\_ Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).

\_\_\_\_\_ A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.

\_\_\_\_\_ Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

X \_\_\_\_\_ The Under Secretary of Commerce for Intellectual Property and Director of the United States  
Patent and Trademark Office is hereby authorized to charge payment of the following fees  
associated with this communication or credit any overpayment to Deposit Account No. 02-2666  
**(a duplicate copy of this sheet is enclosed):**

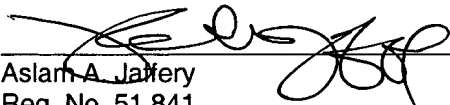
X \_\_\_\_\_ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of  
extra claims.

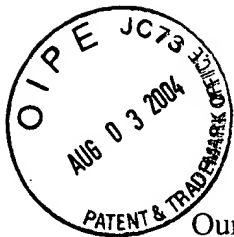
X \_\_\_\_\_ Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: July 30, 2004

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(303) 740-1980

  
\_\_\_\_\_  
Aslam A. Jaffery  
Reg. No. 51,841



Our Docket No: 3801P001C3

**RESPONSE UNDER 37 C.F.R. § 1.116  
-- EXPEDITED PROCEDURE --  
EXAMINING GROUP 2100**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Hess, et al.	)	Examiner: Vu, Viet Duy
	)	
Application No: 09/777,306	)	Art Unit: 2154
	)	
Filed: February 5, 2001	)	
	)	
For: INFORMATION PRESENTATION AND	)	
MANAGEMENT IN AN ONLINE	)	
TRADING ENVIRONMENT	)	
	)	

**RESPONSE AFTER FINAL**

Mail Stop AF  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the final Office Action mailed June 4, 2004, Applicants respectfully request the Examiner to enter the following amendment and to consider the following remark.

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that I am causing the above-referenced correspondence to be deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and that this paper or fee has been addressed to the Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: July 30, 2004

Name of Person Mailing Correspondence: Debbie Peloquin

Debbie Peloquin  
Signature

July 30, 2004  
Date